

# Information sheet for Interviewees

**Innovation and Inclusive Industrialisation in Agro-Processing**  
August 2019



We invite you to participate in the research project *Innovation and Inclusive Industrialisation in Agro-Processing*, a two-year collaboration between researchers from the University of Edinburgh, the University of Johannesburg, and the Economic and Social Research Foundation, Tanzania. The project is led in South Africa by Reena Das Nair from the University of Johannesburg, and Andrew Bowman from the University of Edinburgh. This document is to provide participants with key information and explain what participation involves. Please read it, and ask the researcher to explain anything that is unclear.

## **(1) What is the purpose of the research?**

The project has three aims:

- 1) First, to describe the factors that determine innovation and inclusion in agro-processing.
- 2) Second, explain the challenges to promoting SME participation in agro-processing value chains.
- 3) Third, to use these findings to support industrial policy formulation at the national and regional level.

The project researches these aims through three value chains: maize meal, citrus and dairy. It is a comparative study conducted across Tanzania and South Africa.

## **(2) Who is funding it?**

The project is publicly-funded academic research. The project grant comes from the UK Economic and Social Research Council's (ESRC) Global Challenges Research Fund (ref: ES/S0001352/1). Further information on the funder is available here <https://esrc.ukri.org/> and <https://www.ukri.org/research/global-challenges-research-fund/>

## **(3) What will the interview involve?**

Our interview with you will follow a script of questions relating to our research objectives listed above. The interviewer will have the flexibility to stray from this to explore topics in greater depth and discuss matters which you deem to be important. On request, we will share the questions in advance.

## **(4) How long will the interview take?**

The interview should last around 45 minutes, though the exact amount of time is up to you. Please let us know if you have less time available.

## **(5) Where and when will the interview take place?**

At a time and place that is most convenient to you. The project researcher will make arrangements with you for this. We can conduct the interview by phone if you prefer.

## **(6) Will the interview be recorded?**

This is your choice. With your permission, the interview will be audio recorded to make sure we are accurate. The recording is only for transcription, and will not be played to any external audience. If you prefer not to be recorded, please let us know and written notes will be taken instead.

## **(7) What will the information be used for?**

We will use the data to produce a series of academic publications which may include academic journal articles, an academic book, and publicly-available reports for the benefit of stakeholders in industry and improving policy. This may involve using quotes or information from the interview with you. We hope these publications will improve knowledge about agro-processing and generate ideas to improve policy.

## **(8) Will I be identifiable in the publications?**

This is up to you. On the consent form we give you before the interview you can indicate whether you prefer:

- a. To be de-identified.

- b. To be identifiable.
- c. To have your name removed but your organisation identifiable.

If you choose (a) we will remove both your 'direct identifiers' (your name) and 'indirect identifiers' like your employer, your location, or any specific detail which would make it easy to identify you or your organisation. In the publications, these identifiers will be replaced by a code or a broad category. If you wish to get further detailed information on the anonymization methods, please ask the interviewer or contact the country project lead named at the top of the document.

### **(9) How will the information be stored during the project?**

The project takes data security seriously:

- Immediately after the interview the audio recordings and written notes will be stored on an encrypted digital storage device and then uploaded to the project's secure cloud storage as soon as possible. Any physical paper copies will be destroyed after storage and audio recordings on the Dictaphone will be deleted after upload.
- The information will be accessible only to the project researchers, with no-one outside the project allowed to see your personal details or information collected from the interview

### **(10) What will happen to the information after the end of the project?**

- When the project finishes in 2021, the information will be securely stored in the University of Edinburgh's institutional digital data archive, DataVault. Access will continue to be restricted to the project investigators only.
- With your permission, the project will also deposit the information with the UK Data Archive (<http://data-archive.ac.uk/>) for an indefinite time so that it can be shared with the academic research community in the future. This means your participation in the project can continue to benefit the advancement of knowledge on this subject beyond the short life of this one project.
- This **does not mean the data is in the public domain**. Instead, access is restricted to authenticated, non-profit research organisations, requiring user authentication and subject to a strict end-user license meaning anyone accessing it would be required to maintain the same levels of anonymity.
- **It is entirely your choice, on an opt-in basis, whether or not we store data from your interview in this way. You can indicate your preference on the consent form.**

### **(11) What are the benefits and risks of participating?**

**Risks:** We do not anticipate any risks arising from your participation in this study. We are aware that information collected from interviews may sometimes include things which you do not want in the public domain, and realise that for many participants anonymization is important. We will minimize any risks relating to this with our anonymization procedures and data security protocols described above.

**Benefits:** There will be no direct financial compensation for participation, which is entirely voluntary. However, the project hopes to create indirect benefits by helping to improve policy for agribusiness in South Africa. We hope that contributing towards a better understanding of the industry is of benefit to all concerned. We will actively engage with key policymakers to share the findings of our research for this reason.

### **(12) How can I get the results of the research?**

With your permission, we will add your name to a project email list, which will distribute digital copies of our publications when they are ready. They will also be downloadable from the project website. Physical copies can be posted on request if you don't have email access. You are also invited to attend our project stakeholder workshops in the final six months of the project. These workshops will take place in South Africa, Tanzania and the UK. Notification of the precise dates and locations of these workshops will be provided through the participant email list, and via the project website. Some funding will be available to enable participation. We would be very happy to receive your feedback on the published outputs, and to have you participate at our workshops.

### **(13) What if I change my mind about taking part?**

**Participation is voluntary:** You can refuse to answer any questions, stop the interview at any time, and you may withdraw any information provided up until two weeks after the interview date, when the information has been transcribed and anonymised.

#### **(14) Contact details**

If you feel you have any further questions or wish to make a complaint please do get in contact.

The Principal Investigator is Dr Andrew Bowman.

Dr Andrew Bowman, Lecturer in Africa and International Development  
Centre of African Studies  
University of Edinburgh  
Chrystal Macmillan Building  
15a George Square  
Edinburgh  
EH8 9LD  
Email: [andrew.bowman@ed.ac.uk](mailto:andrew.bowman@ed.ac.uk)  
Phone: +44 (0)131 651 1617

If you have concerns relating to the way data has been handled and processed, its accessibility or its security, you can contact the University of Edinburgh's Data Protection Officer:

Dr Rena Gertz  
Email: [Rena.Gertz@ed.ac.uk](mailto:Rena.Gertz@ed.ac.uk)  
Phone: +44 (0)131 651 4114

If you wish to register a formal complaint about the way in which the data has been handled and processed, its accessibility or its security, you can contact the UK Information Commissioner's Office:

<https://ico.org.uk/make-a-complaint/>