**ISU\_Labantu SURVEYS – Interview with uTshani Fund-**

Name of interviewer: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date of interview: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of person being interviewed: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Location of house in the settlement: NS8, Piesang River, Havelock

*The aim of Phase 1 of the ISU Labantu Project is to provide an overview of the local contexts in the Durban Metropolitan Area and* ***reveal factors that have enhanced self-reliance in informal settlements*** *through a content analysis of the status quo.*

Main questions:

1. What are the **barriers and drivers** that affect *in-situ* participatory approaches in informal settlement upgrading in the eThekwini Metropolitan area?
   1. Can you please describe the actual process of upgrading that you usually follow?
   2. What are the main stakeholders?
2. What are the **financial mechanisms** that are usually applied to support the upgrading projects?
   1. What are the main sources of funds used by the Municipality in financing these projects?
   2. What are the financial mechanisms that uTshani Fund implement to assist the communities?
3. What is a comprehensive definition of “**community-led upgrading**” in informal settlements?
   1. What does “community-led approach” means for uTshani Fund?
   2. What does “community-led approach” means for the local communities?
4. Are there **any current practices** that can be interpreted as signals of **self-reliance** within the communities living this settlement?
   1. Are the residents organised in some kind of organisations/committees?
   2. Are you delivering any kind of **training** aimed at self-build practices?
5. What kind of Upgrading processes does uTshani Fund (and SDI SA) usually promote and implement? E.g. Full upgrade, interim services, in-situ, green field projects.
   1. What is the **current trend**?
   2. Do you expect some changes for the future?
6. How is **success measured** in community-led upgrading projects?
   1. Which are the **key performance indicators for uTshani Fund** and which are proposed by the Community?
   2. Are these reconciled?
   3. How can they both be integrated into project delivery vehicles?
7. What are the ‘**best available practices’** of community-led upgrading projects in the Durban Metropolitan Area?
8. What are the **services and facilities** that you usually deliver in these Upgrading projects?
   1. What are the waste management practices in these settlements?
   2. What are the sanitation systems delivered?
   3. Are you considering to apply some green strategies/new technologies for energy efficiency?
   4. Did you realize any social facilities?
9. Did you conduct **a survey** within the community, to get general demographic information?
   1. What is the rate of unemployment in this settlement?
   2. What is the average income of the households?
   3. Does the community works close to the settlement?
   4. What is the average level of education?
   5. What is the main mode of transport used?
10. Are you **monitoring the settlement** after the completed upgrade?
    1. Did you noticed some changes?
    2. Is the community satisfied?
    3. Are they renting/selling the units?