Ghana	Kenya	Zambia
GP	КР	ZP
GC	КС	ZC
GO	КО	ZO



Land and Agricultural Commercialisation in Africa

Household survey questionnaire

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Consent Form

This survey is part of a research project designed to understand the impact of agricultural commercialization on people's livelihoods and local economies in general. We will be asking you to provide some background information about your household and its livelihoods. Depending on the number of your household members and the relevance of some sections of the questionnaire to your household, the interview may take about 45 minutes or longer to be completed. You are allowed to ask questions at any stage of the interview and you may refuse to answer any question that you may feel uncomfortable to respond to without any consequences. You may also choose to withdraw your participation in this study at any time. We would like to assure you that this study will pose no risks to you or to any other member of your household or community. All information that you give to us will be kept confidential and you will not be identified by name or address in any of the reports or other output that we plan to produce.

By signing this form, you imply that you have read and understood the information about this study and that you hereby agree to voluntarily take part in it.

Signature

Date

Household questionnaire

This questionnaire should be administered to any adult household member who is knowledgeable about the household members' livelihoods. The main respondent should also sign the consent form on the previous page.

A1	Total number of persons in the household	
	•	
A2	Name of the case study	
A3	Name of the community/village	
A4	Name of the interviewer	
A5	Name of the supervisor	
A6	Date of interview (dd/mm/yyyy)	
A7	Interview start time	:

For office use only

Interviewer:

- 1) Please do remember to answer A8 and A9 at the end of this questionnaire.
- 2) Please do remember to provide your country team contact details for queries and complaints as per below.

Should you have any question, queries, or complaints about this interview, please feel free to:
Call us at
Fax us on
Or e-mail us at
This study has been reviewed and approved by the ethics review committee, University of the Western Cape.

Section B: Household roster

INTERVIEWER READ OUT: In this section we are going to ask you questions about the people who are **usually part of your household** and **<u>eat from the same pot</u>** including children and anyone who are not here right now.

B2. PERSON Code	B1. Name of all household members	5	B3. Sex WER: CIRCLE	B4. Age in <u>years</u> INTERVIEWER: WRITE 0 FOR	B5. What is the highest educational level completed by?						
	INTERVIEWER: START	THE APPROPRIATE CODE		HOUSEHOLD MEMBERS WHO ARE BELOW 1 YEAR OLD	INTERVIEWER: CIRCLE THE APPROPRIATE CODE						
PCODE	WITH RESPONDENT FIRST	Male	Female	Years	No formal schooling	Primary education (1-7 years of education)	Secondary education (8 – 12 years of education)	Tertiary education (University/ college)			
01		1	2		1	2	3	4			
02		1	2		1	2	3	4			
03		1	2		1	2	3	4			
04		1	2		1	2	3	4			
05		1	2		1	2	3	4			
06		1	2		1	2	3	4			
07		1	2		1	2	3	4			

08	1	2	1	2	3	4
09	1	2	1	2	3	4
10	1	2	1	2	3	4
11	1	2	1	2	3	4
12	1	2	1	2	3	4
13	1	2	1	2	3	4
14	1	2	1	2	3	4
15	1	2	1	2	3	4
16	1	2	1	2	3	4
17	1	2	1	2	3	4
18	1	2	1	2	3	4
19	1	2	1	2	3	4
20	1	2	1	2	3	4

Section C: Employment

INTERVIEWER READ OUT: In this section we would like to ask you about members of your household <u>aged 10 years and above</u> who do some farming, wage work, or any other economic activity including food production for the family.

	C1	C2	,	C	3	С	4	C	5	C6			(27							
	C1		-		0	C	•	Ŭ	0	Cu		,		s occupation?							
		Does	have a	Did so	metimes	Does have a		Did sometimes		If yes to any of the		T									
		regular formal have a job on job? (with a casual or		job on	regular job at		have a	job on	previous questions (C2,												
					(Plantatio		casua		C3, C4, C5), what												
	INTERVIEWER: REFER TO THE LIST OF ALL NAMES OF HOUSEHOLD MEMBERS PRODUCED IN B1 ABOVE AND WRITE THE PCODES AND NAMES FOR ALL		regular wage or salary) temporary basis in the past year?		rcial far	m case	temporar	y basis at	does earn per												
					salary)		salary)		salary)		salary)		t year?	stud	y)?			week after any			
								(Plantatio		deductions?											
								rcial fai													
								study) in	-												
						INTEDV	IFWED.	yea	I r :	INTERVIEWER:											
			EWER:	INTERVIEWER:		INTERVIEWER: OUESTION C4		-		OUESTION C6	INTED	VIEWED. OU	ESTION C7	FOCUSES	ONLY ON CIRCLED						
			ON C2	QUEST		FOCUSE		QUESTIC		FOCUSES ONLY ON	INIER	TEVER. QU		ES IN C1	MET ON CIRCLED						
	UALS WHO MEET THE	FOCUSES		FOCUSE		ON CIR		FOCUSES		CIRCLED PCODES			10021								
	RIA READ OUT ABOVE	ON CIR		ON CIRCLED		PCODE	-	ON CIRCLED		IN C1											
		PCODES IN C1		CODES IN C1 PCODES IN				PCODES IN C1													
						INTERV	IEWER:														
							CIRCLE THE				INTERVIEWER: CIRCLE THE APPROPRIATE CODE				PRIATE CODE						
				INTERVIEWER:		APPROPRIATE		INTERVIEWER:		INTERVIEWER											
		INTERVI				CO	DE	CIRCL		MUST CONVERT TO											
		CIRCLI APPROP		CIRCL				APPROI CO		A WEEKLY RATE IF PAID DAILY,	Sumarriaan	Farm worke	n Duirron	Other	(Specify)						
		COI		CO					DE	MONTHLY, OR	Supervisor	Farm worke	r Driver	Other	(specny)						
		0			DE					YEARLY											
Pcode	Name	Yes	No	Yes	No	Yes	No	Yes	No	Local currency											
		1	2	1	2	1	2	1	2		1	2	3	4							
		1	2	1	2	1	2	1	2		1	2	3	4							
							-		-			_									
		1	2	1	2	1	2	1	2		1	2	3	4							

	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	
	1	2	1	2	1	2	1	2	1	2	3	4	

		C8	С9
		What sector is involved in? INTERVIEWER: ENTER THE APPROPRIATE CODES (YOU CAN ENTER MORE THAN ONE CODE IF A PE INVOLVED IN MULTIPLE INCOME GENERATING ACTIVITIES)	How far away does work?
WRIT NAMES	INTERVIEWER: TE THE PCODES AND FOR ALL HOUSEHOLD ERS <u>OVER 10 YESARS</u> <u>OLD</u> Name	1 = Primary production (including agriculture)9 = Personal care services (eg. Hair care, childca2 = Agro-processing10 = Financial services/ microlending/ moneylen3 = Manufacturing (any)11 = Brokering/middleman/dealing4 = Handicraft (artisanal)12 = Education/skills training services5 = Retail/vending/wholesaling13 = Hospitality/tourism/catering/tavern-runnin6 = Transport services14 = Waste management/recycling7 = Construction/building/maintenance (buildings)15 = Professional or technical services (law, sur8 = Mechanical/repair services (cars, implements etc)16 = Traditional healing/medicines/cultural pro17 = Other (specify)	are etc) ding 2 = Between 5 and 10km 3 = Between 10 and 50km 4 = More than 50km

Section D: Household agricultural production

INTERVIEWER READ OUT: In this section we would like to ask you some questions about your household agricultural production. The questions are about farming activities that are undertaken on the land that your household has access to.

D1	Does your household have access to land?									
	INTERVIEWER: CIRCLE THE APPROPRIATE CODE (If no, skip to section E)									
	Yes		1							
	No		2							
D2	How much land does your household have access to?									
		acres								
	INTERVIEWER: CONVERT INTO HECTARES									
	NOTE: An acre is about 0.4 hectare and one hectare contains about 2.5 acres	hectares								
D3	How did your household acquire this land (if different plots, the most valuable in terms of production)?									
	INTERVIEWER: CIRCLE THE APPROPRIAT appropriate)	TE CODE (or mo	ore than one if							
	Inherited it		01							
	Allocated by traditional authority		02							
	Leasing it (for payment in cash or in kind)	03								
	Bought it	04								
	Borrowed it	05								
	Occupied it without permission		06							
	Other (specify)	07								

D4		Does your household cultivate the land that it has access to? INTERVIEWER: CIRCLE THE APPROPRIATE CODE (If no, skip to section E)											
	Yes	1											
	No	2											
D5	How much of your land is cultivated by your household?												
	INTERVIEWER: CONVERT ACRES INTO HECTARES	acres											
	NOTE: An acre is about 0.4 hectare and one hectare contains about 2.5 acres	hectares											

D4	Rank 5 main things (in order of importance your household grows <u>for home consump</u> INTERVIEWER: ENTER THE APPROPRIATE	tion.		D6	Rank 5 main things (in order of how much money you make) from the list below that your household grows for sale and how much cash income do you think your household got for each of these crops last seasonINTERVIEWER: ENTER THE APPROPRIATE CODES IN ORDER OF IMPORTANCE					
	01= Maize 02= Groundnut 03= Other grains	1 st most important:			01= Maize 02= Groundnuts 03= Other grains	Сгор	Amount (currency)			
	04= Vegetables 05= Sugarcane 06= Mangoes	2nd most important:			04= Vegetables 05= Sugarcane 06= Mangoes	1st most important:				
	07= Pineapples 08= Tea	2.1			07= Pineapples 08= Tea	2nd most important:				
	09= Coffee 10= Forestry for trade 11= Potato	3rd most important:			09= Coffee 10= Forestry for trade 11= Potato	3rd most important:				
	12= Cocoa 13= Cashew 14= Sweet potato	4th most important:			12= Cocoa 13= Cashew 14= Sweet potato	4th most important: 5 th most important:				
	15= Cassava 16= Beans 17= Other (specify) 18= Other (specify)	5th most important:			15= Cassava 16= Beans 17= Other (specify) 18= Other (specify)	5 most important.				
D5	Who does most of the work of cultivating <u>consumption</u> ? (even if they are not house		d uses for <u>its own</u>	D7	Who does most of the work of cultivating the crop/s your household <u>sells for</u> <u>cash income?</u> (even if they are not household members)					
	INTERVIEWER: CIRCLE THE APPROPRIAT	E CODE	Choose one		INTERVIEWER: CIRCLE THE A	PPROPRIATE CODE	Choose one			
	Elderly women (more than 50 years of age)		01		Elderly women (more than 50 year	urs of age)	01			
	Middle age women (between 30 and 50 years of	of age)	02		Middle age women (between 30 a	nd 50 years of age)	02			
	Young women (under 30 years of age)		03		Young women (under 30 years of age)					
	Elderly men (more than 50 years of age)		04		Elderly men (more than 50 years of age)					
	Middle age men (between 30 and 50 years of a	ige)	05		Middle age men (between 30 and	50 years of age)	05			
	Young men (under 30 years of age)		06		Young men (under 30 years of ag	ge)	06			

Section E: Changes over time in the local economy

INTERVIEWER READ OUT: In this section we would like to ask you some questions about some changes that have happened over time in your household and village or community in general.

E1	What have been the changes in your farming over the past five years? INTERVIEWER: CIRCLE THE APPROPRIATE CODE			
		More (increased)	About the same	Less (decrea sed)
	Cultivated area	1	2	3
	Number of crops cultivated	1	2	3
	Fertilizer use	1	2	3
	Employment of hired labour	1	2	3
	Yields from crops	1	2	3

E2	Is land availability in your village more, less, or about the same Is land availability in your village more, less, or about the same INTERVIEWER: CIRCLE THE APPROPRIATE CODE More About the same		
	Less	3	
E3	five years		
	More	1	
	About the same	2	
	Less	3	
E4	 Is job availability more, less, or about the same if compared to five ago? INTERVIEWER: CIRCLE THE APPROPRIATE CODE 		
	More	1	
	About the same	2	
	Less	3	

E5	Compared to the cost of living, are the wage levels more, less, or about the same than five years ago?				
	INTERVIEWER: CIRCLE THE APPROPRIATE CODE				
	More	1			
	About the same	2			
	Less	3			
E6	Is the farming production level in your <u>household</u> more, less, or about the same if compared to 10 years ago? INTERVIEWER: CIRCLE THE APPROPRIATE CODE				
	More	1			
	About the same	2			
	Less	3			
E7	Is the farming production level in your village/community or about the same if compared to 10 years ago? INTERVIEWER: CIRCLE THE APPROPRIATE CO.				
	More	1			
	About the same	2			
	Less	3			

E8	Is the farm profitability in your <u>household</u> more, less, or about the same if compared to five years ago?					
	INTERVIEWER: CIRCLE THE APPROPRIATE CODE					
	More	1				
	About the same	2				
	Less	3				
E9	Is the farm profitability in your <u>village/community</u> more, less, or about the same if compared to five years ago? INTERVIEWER: CIRCLE THE APPROPRIATE CODE					
	More	1				
	About the same	2				
	Less	3				
E10	Does your household meet more, less, or about the same food needs from own production if compared to five years ago?					
	INTERVIEWER: CIRCLE THE APPROPRIATE COD	E				
	More	1				
	About the same	2				
	Less	3				

Section F: Contracting

<u>INTERVIEWER</u>: Please skip this section if the household is not involved in any outgrowing arrangements.

<u>INTERVIEWER READ OUT</u>: In this section we would like to ask you some questions about your household's contract arrangement with (case study name)

F1	Does your household supply crop to (name of the processor)? INTERVIEWER: CIRCLE THE APPROPRIATE CODE (If no, skip to section G) Yes		F3	How much money did your household make from selling the crop/s that your household sell on contract to the company last season?	Amount (currency)	
				INTERVIEWER: CONVERT TO YEAR		
		2	F4	Who spends more time in the household working to cultivate the crop/s y		
	No 2		_	household sells on contract to (case study name)		
F2	How much of your household's land is used to grow the crop/s that you sell on contract to the company as outgrower?			INTERVIEWER: CIRCLE THE APPROPRIATE CODE		
	INTERVIEWER: CIRCLE THE APPROPRIATE CODE			Elderly women (more than 50 years of age)	01	
	All	01		Middle age women (between 30 and 50 years of age)	02	
	More than three quarters (¾)	02				
	More than half but less than three quarters $(\frac{3}{4})$	03		Young women (under 30 years of age)	03	
	Half	04		Elderly men (more than 50 years of age)	04	
	More than a quarter but less than half	05		Middle age men (between 30 and 50 years of age)	05	
	Some but less than a quarter	06		Young males (under 30 years of age)	06	
	Don't know	07				
	Other (specify)	08				

F5	Is the <u>amount of time</u> that your household allocates to the crops it sells on contract higher, lower or more or less the same if compared with that allocated to the crops for your household own consumption or sale elsewhere?			
	INTERVIEWER: CIRCLE THE APPROPRIATE COL	DE		
	Much more time on contract crops	01		
	Slightly more time on contract crops	02		
	More or less the same on both	03		
	Slightly more on household crops	04		
	Much more time on household crops	05		
F6	In the past year, did your household <u>employ other people</u> as workers (labour) to cultivate crops on your land to sell on contract? If no, skip to F8.			
	INTERVIEWER: CIRCLE THE APPROPRIATE COL)E		
	Yes	1		
	No	2		
F7	Is the amount of hired labour that your household uses to cu on contract higher, lower, or more less the same if compare labour?			
	INTERVIEWER: CIRCLE THE APPROPRIATE COL	DE		
	All hired	01		
	Mostly hired	02		
	About the same	03		
	Mostly household labour	04		
	All household labour	05		

F8	In what year did your household first e with (case study name)					
F9	Have your household have regular contracts since then? INTERVIEWER: CIRCLE THE APPROPRIATE CODE					
	Yes		1			
	No		2			
F10	From the list below, rank top 4 things your household is most <u>AND</u> least happy about in its contract arrangement with (case study name)? INTERVIEWER: ENTER THE APPROPRIATE CODES IN ORDER OF IMPORTANCE IN THE APPROPRIATE COLUMN					
		Most happy	Least happy			
	01= Guaranteed buyer 02= Duration of the contract 03= Rate of pay per volume 04= Timing of payment	Most happy 1 st most happy:	Least happy 1 st least happy:			
	02= Duration of the contract 03= Rate of pay per volume 04= Timing of payment 05= Deductions 06= Level of support and inputs 07= Training 08= Intermediaries					
	02= Duration of the contract 03= Rate of pay per volume 04= Timing of payment 05= Deductions 06= Level of support and inputs 07= Training	1 st most happy:	1 st least happy:			

Section G: Livestock

INTERVIEWER READ OUT: We would like to ask you about the livestock that are owned or taken care of on the land that your household has access to.

G1		G1	.1	G1.2	G1.3	G1.4
	Type of animal	Did your household last 12 n INTERVIEWER APPROPRIA	nonths? : CIRCLE THE ATE CODE	How many of are owned by your household now?	How many did your household sell in the past 12 months?	What was the total value of sales in local currency?
		Yes N	0			
	Cattle	1	2			
	Goats	1	2			
	Sheep	1	2			
	Pigs	1	2			
	Chickens	1	2			
	Ducks	1	2			
	Other (specify)					

G2		G2.1	G2.2	G2.3	G2.4
	Type of animal product	In the past year, how many units of did your household <u>produce</u> per month?	How many units of did your household <u>sell</u> per month, on average?	What was the <u>average price</u> per unit?	In the past year, in how many months did your household sell this?
	Milk (litres/gallons)				
	Eggs (dozen / 12 eggs) Specify if any other unit is used				
	Other (specify)				

Section H: Housing and assets

INTERVIEWER READ OUT: In this section we would like to ask you some questions about your household's main dwelling and the assets it owns.

H1	What type of roofing material is used in the main building that your household lives in?				
	INTERVIEWER: CIRCLE THE APPROPRIATE CODE				
	1= Plastic sheets	01			
	2= Grass/thatch	02			
	3= Stone or slate	03			
	4= Iron sheets	04			
	5= Brick tiles	05			
	6= Concrete	06			
	7= Timber	07			
	8= Other (specify)	08			

How many rooms are there in the main building that your household lives?	
Does your household have access to electricity? INTERVIEWER: CIRCLE THE APPROPRIATE CODE	
Yes	1
No	2

H4	Type of asset	How many of does your household own?
	Motor bicycle	
	Computer	
	Car/truck	
	Fridge	
	Radio	
	Bicycle	
	T.V.	
	Cellphone	
	Of these, how many are Smart phones (with email / internet access)	
	Other (specify)	

Section I: Expenditure & Investment

More than

50 km

away

3

3

3

3

3

10-50 km

away

2

2

2

2

2

1

1

1

1

1

INTERVIEWER READ OUT: In this section we would like to ask you some questions about some of the things that your household spends money on and the places where those things are bought.

I1 Please, rank the top 5 things your household has spent money on starting Where has your household spent I2 with the thing your household has spent the most money on, in the last money on these things in the last twelve months. year? **INTERVIEWER: ENTER THE APPROPRIATE CODES IN INTERVIEWER: CIRCLE ORDER OF IMPORTANCE** THE APPROPRIATE CODE **INTERVIEWER: ENTER** First: 01 = FoodHERE BELOW THE CODES 02= Farming inputs (e.g. agrochemicals, FOR THE FIVE THINGS seeds) **IDENTIFIED IN I1** (the previous Below 10 03= Farming equipment question) km away 04= Transport Second: 05 = School fees & related education costs First: 06= Health 07= Paying back debts 08= Savings Third: 09= Housing and accommodation Second: 10= Clothes 11= Furniture 12= Employees' wages Third: 13= Land or other property Fourth: 14 = Tax15= Other specify Fourth: Fifth: Fifth:

Section J: Investment

INTERVIEWER READ OUT: In this section we would like to ask you some questions about some of the things that your household spends money on in instances it has extra cash.

J1	In situations when your household has had more money than usual, what have you done with it? INTERVIEWER: ENTER THE APPROPRIATE CODES IN ORDER OF IMPORTANCE STARTING FROM THE THING YOU HAVE SPENT THE MOST ON		K1	J1.1 Has any member of your household sent money or large gifts to support a family relative or anyone else living elsewhere in the past 12 months? INTERVIEWER: CIRCLE THE		J1.2 Estimated value in local currency Interviewer: Add up the value of money and in kind in case the
	01= Pay off debt 02= Move to another area 03= Buy a car/truck	First:		APPROPRIATE CODE		household sent both
	04= Buy furniture 05= Put into savings 06= Ordinary household expenses 07= Invest in property 08= Invest in livestock	Second:	J2	Yes No J2.1	2	J2.2
	09= Invest in investor 09= Invest in education 10= Improve my home 11= Establish a business 12= Other (specify)	Third:		Has any member of your household received money or large gifts to support a family relative or anyone else living elsewhere in the past 12 months? INTERVIEWER: CIRCLE THE APPROPRIATE CODE		Estimated value in local currency Interviewer: Add up the value of money and
		Fourth:				in kind in case the household received both
		Fifth:		Yes	1	
				No	2	

Section K: Remittances

INTERVIEWER READ OUT: In this section we would like to ask you some questions about money and/or other things that your household members may have sent to or received from their relatives living elsewhere

Section L: Food security

INTERVIEWER READ OUT: In this section we would like to ask you some questions about the situation of food in your household.

L1	In the last 12 months, has your household ever cut the size of skip meals because it did not have enough food? INTERVIEWER: CIRCLE THE APPROPRIATE CODI	
	All the time	01
	Almost always	02
	Some of the time	03
	Seldom/rarely	04
	Never	05
L2	In the last 12 months, did any members of your household ev a whole day because your household did not have enough for INTERVIEWER: CIRCLE THE APPROPRIATE CODI	od?
	All the time	01
	Almost always	02
	Some of the time	03
	Seldom/rarely	04
	Never	05

L3

How has your household's food situation that you have just described in questions L1 and L2 changed over time? INTERVIEWER: CIRCLE THE APPROPRIATE CODE	Better off	About the same	Worse off
One year ago	01	02	03
Five years ago	01	02	03
Ten years ago	01	02	03

Section M: Wellbeing

INTERVIEWER READ OUT: In this section we would like to ask you some questions about how you perceive the economic situation of your household and how this has changed over time.

M1	Would you say that currently your household is: INTERVIEWER: CIRCLE THE APPROPRIATE CODE	E			
	Very poor	01			
	Poor	02			
	Average	03			
	Above average	04			
	Wealthy	05			
M2	Were you living here 5 years ago? INTERVIEWER: CIRCLE THE APPROPRIATE CODE				
	Yes	1			
	No	2			

M3	Would you say that your household is financially better off, about the same, or worse off as you were INTERVIEWER: CIRCLE THE APPROPRIATE CODE	Better off	About the same	Worse off
	One year ago?	01	02	03
	Five years ago?	01	02	03
	Ten years ago?	01	02	03
M4	If better or worse, what explains the change	es over time'	? (qualitative c	uestion)

M5	Are there conflicts over land in this area? INTERVIEWER: CIRCLE THE APPROPRIATE CODE Yes 1				perso may 1 you t	nal details so that we can be need further information ab	inally, we would like to ask you yo e able to contact you again in case bout your household or we may inv there we share the results of this st not be disclosed.
M6	NoIf there are conflicts over land in this area, are there worse, about the same , or better (fewer, less serious) than they were:INTERVIEWER: CIRCLE THE APPROPRIATE CODE	Better	About the same	2 Worse	A9	Name: Surname : Telephone number (cell phone):	
	One year ago	01	02	03			
	Five years ago	01	02	03			
	Ten years ago	01	02	03			
M7	If better or worse, what explains t question)	he changes o	ver time? (qua	litative			