

21<sup>st</sup> May 2008

Dear,

## INVITATION TO PARTICIPATE IN NEW RESEARCH.

The Effectiveness of Eco-labelling and Certification in improving the Sustainability of Aquaculture and Fisheries Resources. 'EECSAF'

A project funded by the Economic Social Research Council

The Marine and Coastal Policy Unit, based at The Scottis h Association for Marine Science (SAMS) Oban, Scotland, is unde rtaking this ESRC funde d project, to examine the issues surrounding the certificat ion and eco-labelling of the fisheries and aquaculture industries.

This project proposes the following strategic questions:

Are certification and eco-labelling systems effective in addressing the sustainability of fishery and aquaculture derived seafood resources? Do the benefits and advantages outweigh the disadvantages and obstacles?

Our aim is to exa mine the issues surrounding eco-labelling at a tim e when labelling and certification are becoming tools with a significant investment of resources and public interest, and 'competition' is emerging between fishery and farming sectors in capturing the 'sustainable' or 'organic' market.

The aim of eco-labels and certification sch emes is to prom ote well m anaged operations directly to consumers who, in theory, are increasingly demanding products from sustainable sources. The growth of eco-labels is dominant in the fisheries sector, however, in recent years, the aquaculture sector has been experimenting with eco-

labelling and moving towards 'organic' as a means of securing access and increasing competitiveness in the market.

This proposal draws on m ethodology from the fields of environm ental policy and social science. The project uses a case study approach to the analysis of certification and eco-labelling, based on previous rese arch on indicator system s and m arine resources by the Project Leader, Dr Tavis Potts.

With your organisations input, we are asking two key questions at this stage of the research:

- 1. How are the primary fishery and aquaculture certification systems *designed* in order to differentiate 'sustainable' or 'organic' products from other like products in the market? What are the instruments used in this process?
- 2. What processes are in place for the cert ification body to build legitim acy and credibility? How is the certif ication process governed to ensure quality and effectiveness?

Further aspects of the research are investig ating the effectiveness of labels in the market with consumers and retailers (via a survey), and the outcom es on producers who have gone through the certification process.

Part of our m ethod is to undertake a seri es of case studies on key eco-labelling and certification schemes that focus on the fisher ies and aquaculture industry sectors. We have identified your organisation, "Scottish Salmon Producers Organ isation", as one of the main actors and as such we would like to invite your organisation to take part in this project. Dr Tavis Potts has been in contact with Neil Auchterlonie previously and received a positive response. As a case study subject, members of your organisation will be interviewed by the Projec t Leader, Dr Tavis Potts, at a m utually agreeable time and venue, for no longer than 1.5 to 2 hours. The interview will be open and semi-structured and is our prim ary method of data collection. W e hope to collect information relating to the development and management of the label, verification and accreditation procedures, organisational governance, financial m odels, marketing, transparency and stakeholder engagement. The interview questions will be circulated prior to interview.

We would very much appreciate it if you could identify which staff m embers within SSPO would be available to answer our questions.

As a case study subject all correspondence an d replies will be treated in the utm ost confidence. SAMS has a strict confidentiality and ethical policy which this project will uphold.

The research team comprises of Projec t Leader, Dr T avis Potts and Research Assistant, Ms Averil Wilson.

Dr Tavis Potts is a Lecturer in Marine Policy and Coastal Management at the Scottish Association for Marine Science. Dr Potts has research, s upervision and teach ing experience and an active research agenda in the field of international environmental and marine policy, policy instrum ents focusing on m arket-based approaches, and green business and innovation.

Ms Averil Wilson is a Research Assistant in Marine and Coastal Policy at the Scottish Association for Marine Science. Ms W ilson has research experience in the field of Integrated Coastal Zo ne Management, Ecosystem Approach to Aquaculture and Sustainable Development.

Each member of the research team is committed to the principles that guide reputable research. All research will be undertaken with care and resp ect for the respondent's welfare and the quality and valid ity of the research report/result. All information on individual firms will be treated in str ictest confidence, and no individual ls or individual firms will be identified in the research result. We will ask you for your contact details if you would like to receive a copy of the report. You are free to withdraw at any time without repercussions and you may request that your contributions be removed from the project. You are free to indicate that all information provided is to be treated as 'commercial-in-confidence' and particular results of the interviews will only be quoted with the permission of the interviewees.

If you would like further details of this study please do not hesitate to contact us using any of the methods detailed below. Thank you in anticipation.

Yours sincerely,

Dr Tavis Potts Marine and Coastal Policy Unit, Scottish Association for Marine Science, Dunstaffnage Marine Laboratory, Oban. Scotland PA37 1QA

Telephone: (Direct line) 01631 559415 Fax: 01631 559000 Email: Tavis.Potts@sams.ac.uk